



## Improvement of an EVT-based HEV using dynamic programming

Emmanuel Vinot, Rochdi Trigui, Yuan Cheng, Christophe Espanet, Alain Bouscayrol, Vincent Reinbold

### ► To cite this version:

Emmanuel Vinot, Rochdi Trigui, Yuan Cheng, Christophe Espanet, Alain Bouscayrol, et al.. Improvement of an EVT-based HEV using dynamic programming. IEEE Transactions on Vehicular Technology, 2014, 63 (1), pp. 40-50. 10.1109/TVT.2013.2271646 . hal-00877475v2

**HAL Id: hal-00877475**

**<https://hal.science/hal-00877475v2>**

Submitted on 21 Oct 2015

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Improvement of an EVT-based HEV using dynamic programming

Emmanuel Vinot, Rochdi Trigui, *Member, IEEE*, Yuan Cheng,  
Christophe Espanet, *Member, IEEE*, Alain Bouscayrol, *Member, IEEE*, Vincent Reinbold.

**Abstract-** Automotive engineers and researchers have proposed different Series-Parallel Hybrid Electric Vehicle SP-HEV topologies. The Toyota Hybrid System (THS) is the most known SP-HEV based vehicle, but alternative solutions such as Electric Variable Transmission (EVT) have been also proposed. Efficient comparison between these different solutions is a key point in order to estimate the added value of each topology. This paper presents the application of optimal control to two series-parallel hybrid architectures for efficiency assessment purpose. The dynamic programming method is applied to the THS as well as to a virtual hybrid vehicle with an EVT. The way to take into account the supplementary degree of freedom provided by the decoupling of wheels and engine in both topologies is presented. The optimal fuel consumptions are then compared on different driving cycles and bring out an over consumption of the EVT topology. Then, a parametric study shows that inserting an appropriate gear ratio on the ICE shaft can improve the EVT efficiency that becomes close to the THS efficiency.

**Index Terms** – series-parallel, hybrid vehicle, dynamic programming, electrical variable transmission

## I. INTRODUCTION

Hybrid Electric Vehicles (HEVs) are one of the effective solutions chosen by the automotive industry to reduce CO<sub>2</sub> and pollutant emissions. By using a combination of an ICE and electrical machines supplied by batteries, the efficiency of the vehicle is enhanced. Among the different hybrid power-train architectures, full hybrid series-parallel architectures (SP-HEVs) are one of the more advanced solutions to reduce fuel consumption [1]-[3]. Such architectures allow splitting the power flow in a combined series and parallel way.

Copyright (c) 2013 IEEE. Personal use of this material is permitted. However, permission to use this material for any other purposes must be obtained from the IEEE by sending a request to [pubs-permissions@ieee.org](mailto:pubs-permissions@ieee.org).

E.Vinot, R. Trigui and V.Reinbold are with the Transport and Environment Laboratory, French Institut of Science and Technology for Transport, Développement et réseaux, 69675, Bron, France (e-mail: [Emmanuel.vinot@ifsttar.fr](mailto:Emmanuel.vinot@ifsttar.fr)).

Yuan Cheng and A. Bouscayrol are with the Laboratory of Electrotechnics and Power Electronics, University of Lille 1, 59655 Villeneuve d'Ascq, France (e-mail: [chengyuan\\_hit@yahoo.com.cn](mailto:chengyuan_hit@yahoo.com.cn), [Alain.Bouscayrol@univ-lille1.fr](mailto:Alain.Bouscayrol@univ-lille1.fr)).

Christophe Espanet is with the Institut Franche-Comté Électronique, Mécanique, Thermique et Optique-Sciences et Technologies, Énergie et Ingénierie des Systèmes Multiphysiques, University of Franche-Comté, 90010 Belfort Cedex, France (email: [christophe.espanet@univ-fcomte.fr](mailto:christophe.espanet@univ-fcomte.fr)).

All authors are also with the MEDEVH, French National Network on HEVs, France, <http://www.univ-lille1.fr/megevh>.

Moreover, this kind of topology provides a possible decoupling of the wheel and the engine speed. Therefore a supplementary degree of freedom can be used to optimize the engine operating points.

The series-parallel power split vehicle Toyota Prius was the first SP-HEV with worldwide diffusion and remains until now a reference for automotive hybridization [4], [6]. However other advanced SP-HEVs like the Electric Variable Transmission (EVT) have been proposed in the literature but without efficiency comparison with the existing SP-HEVs. This topology enables theoretically the same functionalities as the THS but in a more compact way [7]-[11].

The EVT has been studied and documented especially in a design purpose [11]-[14]. Two main topologies are usually described using two electrical machines or one double rotor integrated machine. A sizing and design approach aiming to replace the THS transmission by an EVT has been proposed in [11]. This study showed that the specifications of the maximum torque and power of the electrical machines are more or less the same in both SP-HEVs, namely THS and EVT.

The efficiency assessment of such complex systems is not an easy task. One of the key issues is the energy management because it has an important impact on the fuel economy results. The rule-based THS management has been discussed in previous publications [15], [16]. The same kind of strategy has been adapted to EVT [11], [17]. However, in order to compare the HEVs in their best fuel consumption it is not appropriate to apply rule based control methods because the results strongly depend on the control tuning [18].

An optimal control method has been developed to perform a comparison of both topologies [19]. Using a system modelling approach, the dynamic programming method already applied to THS [4], [20], [21] has been extended to EVT. It shows that under the assumption of using the same components, EVT fuel consumption is 10 % higher than THS's. An energetic analysis has been performed and shows that the main difference appears in the losses of the electrical machine EM2. It shows that the EM2 linked to the engine in EVT mainly operates in a high torque and low speed area with low efficiency. This paper investigates the possibility of inserting a gear on the engine shaft to change the EM2 operating area.

First the SP-HEV principle is presented in section II. A reminder on dynamic programming and its application on SP-HEVs is then presented in section III. The method to choose the engine operating point is detailed for each topology. In

section IV, the comparison between THS and EVT is then presented. Finally a new EVT solution with gear shows that the fuel consumption of this architecture can be reduced to the level of THS.

## II. SERIES PARALLEL HEV

### A. General principle

In conventional vehicles it is of high importance to have a device that enables the change of the ratio between engine and wheel speed. This is generally performed by a gearbox and a clutch, and sometimes, a mechanical Continuous Variable Transmission is used in order to choose the engine operating point independently of the wheel speed.

In hybrid vehicles the use of electrical machines, by transmitting the power flow electromagnetically allows to decouple the engine and wheel speeds. A part of the power flow is thus an electrical series flow (Fig. 1). A well known way to split the engine flow is a mechanical coupling using a planetary gear as in the Toyota Hybrid Systems (section II.B).

Another solution called Electrical Variable Transmission (EVT) is to split the power flow using an electrical machine with rotating stator and rotor (section II.C).

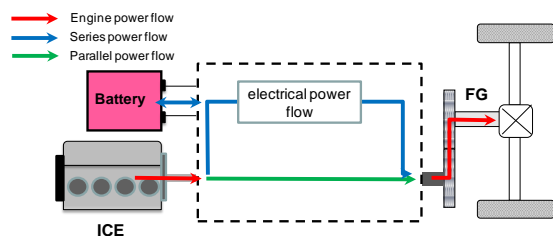


Fig. 1 : global scheme of SP-HEVs

### B. Mechanical variable solution : the Toyota hybrid system

The Toyota hybrid system is the most known SP-HEV architecture. It is composed of one electrical machine EM1 linked to the wheel (Fig. 2) allowing the electrical mode. A planetary gear linked to the engine and the second electrical machine EM2 insures the splitting functionality. It is a relatively simple transmission considering that no gear box and no clutch are used. One drawback is that in electrical mode the planetary gear ring and thus the EM2 has to be in rotation. Moreover the EM2 speed can frequently be high (near 10000 rpm [4]). This implies high losses in the machine, and sometimes, it can constrain the engine operation [4].

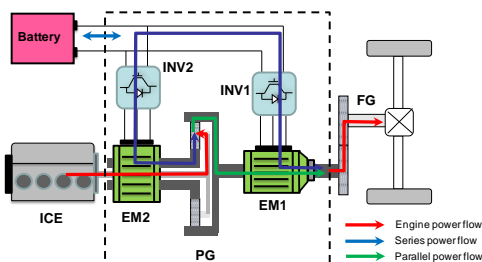


Fig. 2 : THS architecture

### C. Electrical variable transmission

The EVT (Fig. 3) is an interesting solution as it only uses electrical machines to split the engine power flow. A solution is to link the external armature of the EM2 machine to the engine shaft and its rotor to the wheel. Thus a rotating external armature has to be used and fed by power electronics. The EM2 speed (difference between engine and wheel speed) will determine the amount of energy split from the electrical network.

As in THS the EM1 machine is linked to the final gear and will insure the electrical mode.

A more compact topology (Fig. 4) can be made with only one double rotor electrical machine [17] to ensure the split functionality. In a functional point of view the two topologies behave exactly in the same way.

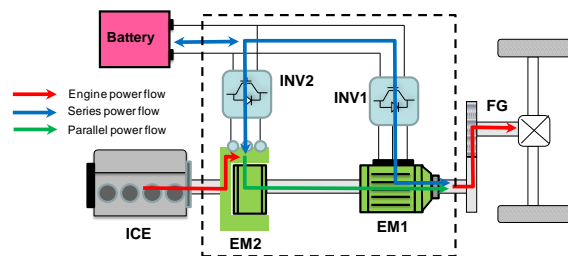


Fig. 3 : EVT architecture

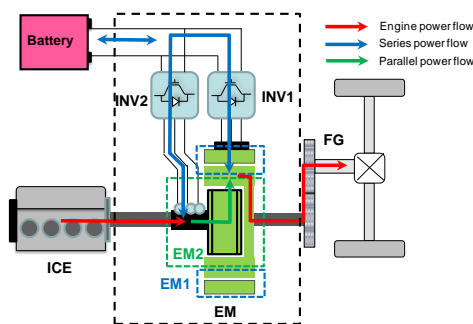


Fig. 4 : Integrated EVT architecture

## III. DYNAMIC PROGRAMMING APPLIED TO SP-HEV

Optimal management methods are a good way to compare the fuel consumption of hybrid architectures [21], [23] and thus improve the systems. In this paper the Dynamic Programming method has been used to compare the optimal fuel consumption of THS and EVT.

### A. The Dynamic Programming applied to hybrid vehicles

Dynamic Programming is frequently applied to hybrid vehicles to minimize the off-line fuel consumption (or more complex criterion) on an a priori known driving cycle [20], [21].

In general, the optimization is based on a systemic approach and energetic models of different subsystems (section III.B).

In our study, optimization methods are applied using a backward approach [4] which needs the knowledge of the driving cycle and the vehicle characteristics (inertia and



## B. Case of SP-HEV

### 1. Mechanical and electrical relations in THS and EVT

This section presents the cost calculation on a given edge in the case of the THS (Fig. 7) and the EVT (Fig. 8).

The vehicle model is based on the VEHLIB library model [15]. The electrical machines and the inverters are modelled with efficiency maps and the engine with fuel consumption maps. The battery model consists of an equivalent electric circuit with an open circuit voltage  $E_{bat}$ , an internal serial resistance  $R_{bat}$ , and a faradic efficiency  $\eta_f$ .  $E_{bat}$  depends on the SOC and the temperature,  $\eta_f$  depends on the SOC and the current sign and  $R_{bat}$  depends on the SOC, the temperature and the current sign, all using look up tables obtained by experiments.

The temperature is fixed for all the driving cycle but is chosen at the beginning of the cycle. Nevertheless, no thermal model is used, and the parameters remain constant vs. temperature during all the driving cycle.

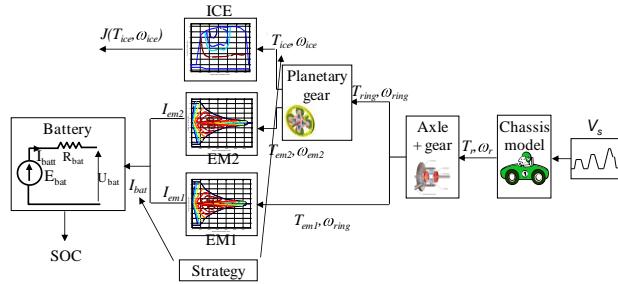


Fig. 7 : Backward approach of THS architecture

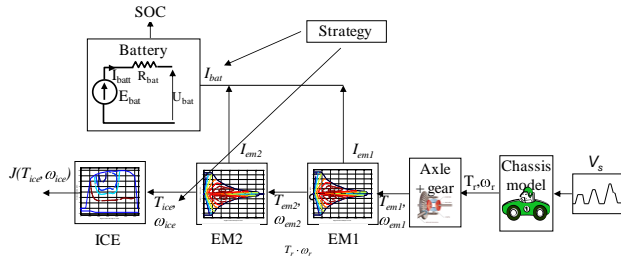


Fig. 8 : Backward approach of EVT architecture

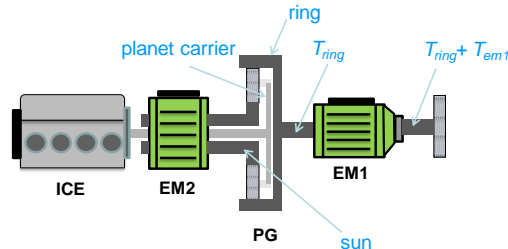


Fig. 9 Planetary gear connections

On each edge of the graph,  $I_{bat}$ ,  $T_r$  and  $\omega_r$  are known. The equation of each components of the system has then to be used to calculate the engine operating point and thus its fuel consumption on a backward manner.

From the wheel torque and speed constraints  $(T_r, \omega_r)$ , one can easily deduce that

$$T_r = J_{veh} \frac{d\omega_r}{dt} + T_f \quad (4)$$

where  $T_r$  is the wheel torque,  $T_f$  is the load torque calculated from the resistant forces,  $J_{veh}$  is the overall inertia of the vehicle brought back to the wheels and  $\omega_r$  is the wheel speed.

TABLE 1 presents the equations for the two architectures.  $T_{ring}$  is the torque on the planetary gear ring,  $T_{em1}$  is the torque on the EM1 shaft,  $\eta$  is the efficiency of the transmission (axle plus gear),  $R_{trans}$  is the transmission ratio,  $Sign(T_r, \omega_r)$  is the sign of the wheel power. Thus the wheel torque is divided or multiplied by the gear efficiency depending on the direction of the wheel power.  $T_{ice}$  is the ICE torque and  $\eta_{PG}$  is the efficiency of the planetary gear.  $T_{em2}$  is the torque on the EM2 machine shaft.

$\omega_{em1}$ ,  $\omega_{em2}$ ,  $\omega_{ring}$  and  $\omega_{ice}$  are respectively the speeds of the electrical machines EM1 and EM2, planetary ring and engine.  $R_{PG}$  is the planetary gear ratio ( $R_{PG} = -N_{teeth\_ring}/N_{teeth\_sun}$ ), with  $N_{teeth\_ring}$  and  $N_{teeth\_sun}$  the teeth number of the ring and sun gears.

The splitting power functionality is performed by the EM2 machine and the planetary gear in THS (7) to (9).

In EVT, EM2 torque strikes ICE torque (13). The EM2 rotor speed is the same as EM1 speed and the stator speed corresponds to the ICE speed. The difference between this two speeds (12) imposes the power derived by electrical network.

TABLE 1 : Mechanical equations of THS and EVT

THS	EVT
$\omega_{em1} = \omega_{ring} = R_{trans}\omega_r$ (5)	$\omega_{em1} = R_{trans}\omega_r$ (10)
Torque relationships on the ring and EM1 shaft :	Torques relationships on the EM1 and EM2 shaft :
$T_{ring} + T_{em1} = \frac{T_r}{\eta^{sign(T_r, \omega_r)} R_{trans}}$ (6)	$T_{em2} + T_{em1} = \frac{T_r}{\eta^{sign(T_r, \omega_r)} R_{trans}}$ (11)
Willis and torque Relationships in the planetary gear (Fig. 9):	Relationships in the EM2 machines :
$\omega_{em2} = R_{PG}\omega_{ring} + (1 - R_{PG})\omega_{ice}$ (7)	$\omega_{em2} = \omega_{ice} - \omega_{em1}$ (12)
$T_{em2} = -T_{ice}/(1 - R_{PG})$ (8)	$T_{em2} = -T_{ice}$ (13)
$T_{em2} = -T_{ring}/(\eta_{PG}R_{PG})$ (9)	

The electrical network is the same in both architectures. The electrical node between the electrical machines and the battery gives:

$$I_{em1} + I_{em2} + I_{acc} - I_{bat} = 0. \quad (14)$$

The relations concerning the electrical machines are obtained by losses map model:

$$(E_{bat} - R_{bat}I_{bat})I_{em1} = T_{em1}\omega_{em1} + P_{t_{em1}}(T_{em1}, \omega_{em1}) \quad (15)$$

$$(E_{bat} - R_{bat}I_{bat})I_{em2} = T_{em2}\omega_{em2} + P_{t_{em2}}(T_{em2}, \omega_{em2}) \quad (16)$$

where  $I_{em1}$ ,  $I_{em2}$ ,  $I_{acc}$  and  $I_{bat}$  are respectively the DC current in electrical inverters of the EM1 and EM2 electrical machines (considered as receptors), in the accessories and in battery (considered as a generator). Note the current in the accessories corresponds to a constant value of power consumed in accessories and is fixed to 250 W.



$P_{tem1}$  and  $P_{tem2}$  are the losses in the electrical machines and inverters,  $E$  is the open battery voltage and  $R$  is the internal battery resistance which depends only on SOC and the battery current.

The problem is then to solve the SP-HEV systems equations.

## 2. Choice of engine operating point

In THS considering (6) to (9) and (14) to (16), and  $I_{bat}$  imposed by the strategy we obtain 7 equations and 8 unknown variables ( $\omega_{em2}$ ,  $\omega_{ice}$ ,  $T_{em2}$ ,  $T_{ice}$ ,  $T_{ring}$ ,  $T_{em1}$ ,  $I_{em2}$ ,  $I_{em1}$ ). That means that there is one degree of freedom. This degree of freedom allows choosing, for example, the engine speed to minimize the fuel consumption for a given edge ( $I_{bat}$ ,  $T_r$  and  $\omega_r$  imposed).

In EVT the system is described by 6 equations (11) to (13) and (14) to (16) and 7 unknown variables ( $\omega_{em2}$ ,  $\omega_{ice}$ ,  $T_{ice}$ ,  $T_{em2}$ ,  $T_{em1}$ ,  $I_{em2}$ ,  $I_{em1}$ ), it means that, as in the THS, there is also one degree of freedom.

In both architectures, the engine speed is chosen as the variable to be fixed.

A possible solution to fix this degree of freedom is to use a pre-determined engine torque versus speed curve [23]. This is the way used to develop the online rule-based management of the Prius-II [15] and the used curve is presented in (Fig. 10), which allows operating the engine in an efficient specific area. Nevertheless, the best engine specific consumption is not obviously the best system operating point taking into account the electrical machine losses [19]. Thus it does not correspond to the minimum possible fuel consumption. Moreover a pre-defined curve may be a good solution for THS but not for EVT.

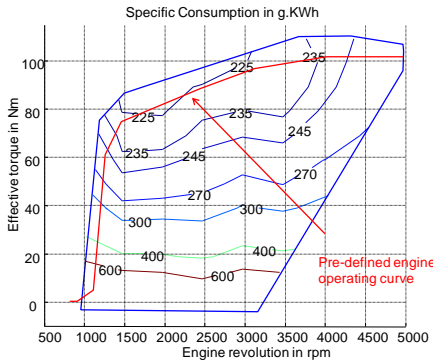


Fig. 10 : Pre-defined engine operating curve in Toyota Prius-II.

Taking the previous remarks into consideration, it is proposed to search in each edge the engine operating point which minimizes the fuel consumption. In fact, the electrical machines operations, and thus their efficiency, is highly dependant on the engine torque and speed. Thus the best system global efficiency has to be found.

To achieve that, in the simulation algorithm,  $\omega_{ice}$  is sampled from 0 to its maximum value. For each value of  $\omega_{ice}$  the non-linear systems (4) to (10) are solved to find the engine torque  $T_{ice}$  and thus the fuel consumption. It means that on each edge of the graph for each value of  $\omega_{ice}$  the engine torque which solves the systems has to be calculated.

A good way to solve the system with a minimum computer effort is to sample the engine torque between 0 and

its maximum value for a given speed. Then the battery power  $P_{bat\_syst}$  obtained for each engine torque and speed couple is calculated and compared to the battery power  $P_{bat}$  calculated using the edge soc variation:

$$P_{bat\_syst} = P_{em1} + P_{em2} + P_{acc} \quad (17)$$

where  $P_{em1}$  and  $P_{em2}$  are the electrical power of the electrical machines and inverters, and  $P_{acc}$  the accessories power.

Knowing that the electrical machine power is the sum of mechanical power and losses, it leads to:

$$P_{bat\_syst} = T_{em1} \cdot \omega_{em1} + P_{tem1}(T_{em1}, \omega_{em1}) + T_{em2} \cdot \omega_{em2} + P_{tem2}(T_{em2}, \omega_{em2}) + P_{acc}. \quad (18)$$

Thus using (5) to (13)  $T_{em1}$ ,  $\omega_{em1}$ ,  $T_{em2}$  and  $\omega_{em2}$ , can be expressed depending on  $T_{ice}$ ,  $\omega_{ice}$  and known parameters ( $\omega_r$  and  $T_r$ ), TABLE 2.

TABLE 2 : machines torque and speed

THS	EVT
$T_{em1} = \frac{T_r}{\eta^{sign(T_r, \omega_r)} R_{trans}} - \frac{\eta_{plan}^k}{1 - R_{PG}} T_{ice} \quad (19)$	$T_{em1} = \frac{T_r}{\eta^{sign(T_r, \omega_r)} R_{trans}} + T_{ice} \quad (23)$
$\omega_{em1} = \omega_r R_{trans} \quad (20)$	$\omega_{em1} = \omega_r R_{trans} \quad (24)$
$T_{em2} = -\frac{T_{ice}}{1 - R_{PG}} \quad (21)$	$T_{em2} = -T_{ice} \quad (25)$
$\omega_{em2} = R_{PG} R_{trans} \omega_r + (1 - R_{PG}) \omega_{ice} \quad (22)$	$\omega_{em2} = \omega_{ice} - R_{trans} \omega_r \quad (26)$

Then  $P_{bat\_syst}$  can be expressed as in TABLE 3.

TABLE 3 : Pbat\_syst for the two architectures

THS
$P_{bat\_syst} = \left( \frac{T_r}{\eta^{sign(T_r, \omega_r)} R_{trans}} - \frac{\eta_{plan}^k}{1 - k} T_{ice} \right) \cdot \omega_r R_{trans} + P_{tem1}(T_{em1}, \omega_{em1}) - \frac{T_{ice}}{1 - k} \cdot (k R_{trans} \omega_r + (1 - k) \omega_{ice}) + P_{tem2}(T_{em2}, \omega_{em2}) + P_{acc} \quad (27)$
EVT
$P_{bat\_syst} = \left( \frac{T_r}{\eta^{sign(T_r, \omega_r)} R_{trans}} + T_{ice} \right) \cdot \omega_r R_{trans} + P_{tem1}(T_{em1}, \omega_{em1}) - T_{ice} \cdot (\omega_{ice} - R_{trans} \omega_r) + P_{tem2}(T_{em2}, \omega_{em2}) + P_{acc} \quad (28)$

On a given edge,  $\delta_{soc}$  and thus  $I_{bat}$  are known.  $U_{bat}$  is easily calculated using battery model. Solving the system consists then in finding  $T_{ice}$  such as  $P_{bat\_syst} = P_{bat} = I_{bat} U_{bat}$ .

Looking at the evolution of the battery power balance  $P_{bat\_syst} - P_{bat}$  versus  $T_{ice}$  (Fig. 11), a linear interpolation is performed between the nearest positive and negative value to zero. Thus the engine torque value is calculated for each engine speed and the corresponding fuel consumption is deduced. The engine speed with the lowest fuel consumption is finally selected as the final cost of the edge.

It has been observed that sampling the ICE Torque by step of 5 N.m gives good results. No significant changes have been observed in the global fuel consumption or strategy by increasing this precision.

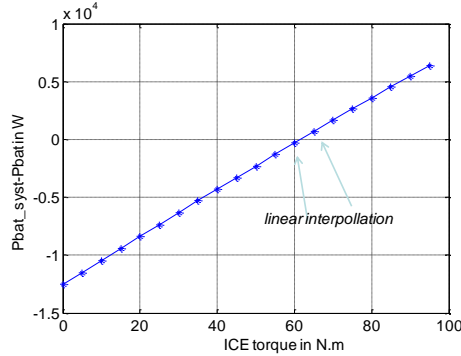


Fig. 11 : Battery power balance.

#### IV. COMPARISON BETWEEN THS AND EVT

The purpose of this section is to present a comparison between THS and EVT. A first comparison is focused on the weight and volume of both topologies. A second comparison is focused on the fuel consumption for the same drive cycle. It should be noticed that THS-II is an existing optimized system implemented on commercial vehicles. On the opposite EVT characteristics are issued from a preliminary study which could be improve in future developments.

The data for the THS comes from different characterizations studies [15], [24], [25]. The data from the EVT is issued from previous works using the Finite Element method software [12]. The component specifications of the Prius-II are presented in TABLE 4.

##### A. Comparison elements on weight and volume

Fig. 12 and Fig. 13 present the cross sections of the two machines of THS and of the EVT. Fig. 14 and Fig. 15 present axial cross sections of the two systems in order to compare the overall occupied volume. TABLE 5 presents elements of weight and volume neglecting the housing of the systems. The planetary gear volume and weight have been approximately estimated.

Previous studies show that the specifications of the maximum torque and the power of the electrical machine are more or less the same in the two topologies [11]. The length of EM1 of THS and EVT are the same as well as linear current density. To provide the same maximum torque on EM1 (400 Nm) the EVT external diameter is bigger as it uses surface mounted magnets instead of buried magnets as in THS. The THS air-gap field is thus higher. It also presents a high reluctant torque in addition to the electromagnetic torque. The length of the EVT EM2 machine is higher than that of THS as it is inside the EM1 machine. It is also noted that the slot of the inside EM2 machine, and consequently the copper volume, is high to avoid cooling problems of the inner rotor.

The work reported in [11] concentrates on the design of a functional EVT that fits the needed specifications. The optimization of this device is in the perspective of the study. However, the designed EVT already presents relatively good

characteristics compared to those of the THS in terms of weight and volume. For the moment, the results give an advantage of approximately 8 % of weight to the THS while the volume of THS is 20 % higher than the EVT system.

The EVT can be improved and become more compact. For example, buried magnets can be used instead of surface-mounted magnets. Also, the copper volume may be reduced especially in the inner rotor. The global design of the system can also be improved using a more global sizing approach [26]. This approach has to optimize the size of the different components with different gear ratios, together with the optimization of the energy management parameters [27],[28]. A global optimization algorithm, including optimal energy management, can be used to calculate the fuel consumption objective. This approach is already in progress on another kind of HEV in the laboratories of the authors [29].

TABLE 4 : TOYOTA PRIUS-II CHARACTERISTICS

<b>Vehicle weight</b>		1360 kg
<b>Engine</b>	displacement	1497 cc
	max power	57 kW @ 5000rpm
<b>Planetary gear</b>	ratio(ring,planet,sun)	2.6 (78/23/30)
<b>Gear</b>	ratio	4.113
<b>Electrical Motor</b>	max power	50 kW
	maximum speed	6000 rpm
	maximum voltage in use	500 V
	maximum torque	400 Nm (0 à 1200 rpm)
<b>Electrical Generator</b>	max power	30 kW
	maximum speed	10000 rpm
	maximum voltage in use	500 V
	maximum torque	160 Nm (0 à 1800 tr/min)
<b>NiMh battery</b>	NiMh module number	28
	nominal energy	1.3 kWh
	nominal voltage	201.6 V
<b>maximum vehicle speed</b>	electric mode	60 km/h
	hybrid mode	160 km/h

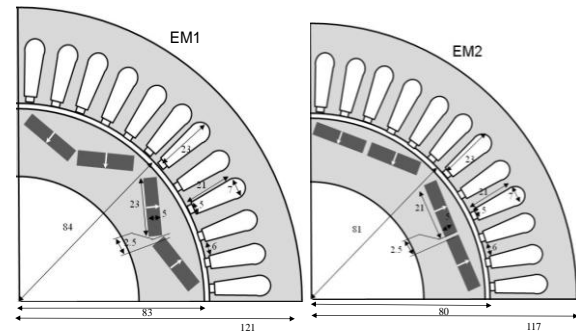


Fig. 12. EM1 of THS characteristics

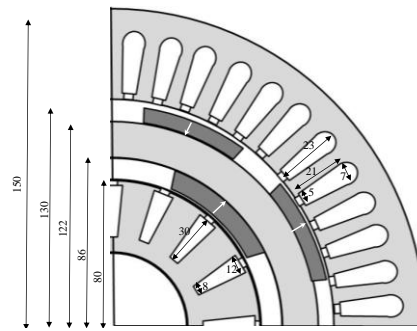


Fig. 13. EVT electrical machines characteristics.

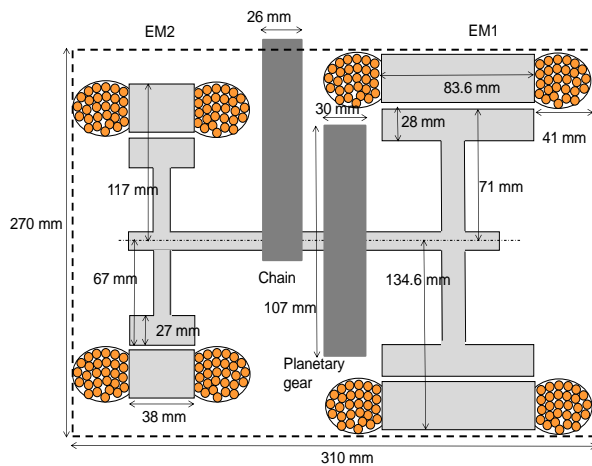


Fig. 14 THS dimensions

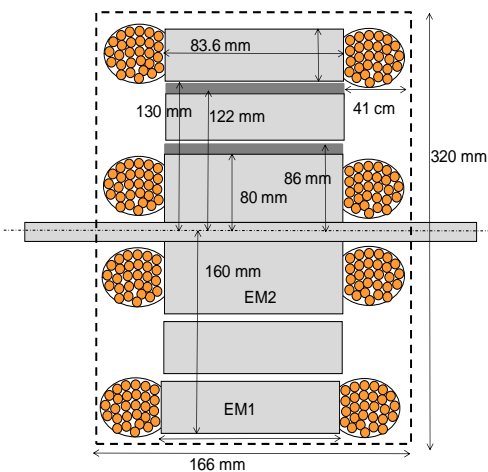


Fig. 15. EVT dimensions

TABLE 5 : Comparative weight and volume

	EVT			THS			PG
	global	EM1	EM2	global	EM1	EM2	
global weight in kg	48.9	27.9	21.0	45.6	34.3	11.3	1.4
copper weight in kg	10.9	5.6	5.3	7.0	4.5	2.5	
magnet weight in kg	2.7	1.8	0.9	1.4	1.1	0.3	
volume in l	13.3	7.7	5.6	15.7	9.4	4.7	0.3

### B. Fuel consumption comparison

A comparison between the simulated optimal fuel consumption obtained using the dynamic programming method is presented in TABLE 6. The fuel consumption on a normalized driving cycle and more representative driving cycles, i.e. urban, road and highway conditions [30] are presented. Charge sustaining operation is considered meaning that the battery energy variation over the cycle is zero in every case. Using the same characteristics for the machines in both architectures, it is clear that the EVT fuel consumption is much higher than that of a THS. TABLE 6 shows that it is around 10 % higher, whatever the driving cycle is. A study on components operating points and global comparative energy balance is then a good way to understand such an over consumption (see section II.B).

TABLE 6 : FUEL CONSUMPTION ON DIFFERENT DRIVING CYCLE

drive cycle	THS fuel consumption (l/100 km)	EVT fuel consumption (l/100 km)
NEDC	3.73	4.13
urban	4.54	5.04
road	3.86	4.16
highway	5.59	6.17

## V. IMPROVEMENT OF THE EVT-BASED SOLUTION

### A. Analysis on the EVT efficiency

To explain the higher fuel consumption of EVT topology compared to THS, an energetic study has been performed [19]. Considering a charge-sustaining operating mode, the total amount of energy is provided by the engine. The major section of this energy goes to the wheel and propels the vehicle. The rest is used in the vehicle auxiliaries and burned in losses.

Fig. 16 presents the energy balance of the two architectures. As the same driving cycle is followed by both vehicles, the energy going to the wheel is strictly the same. For the same reason the auxiliary and final gear losses are exactly the same. Note that this energy is coming from the global balance including traction and regenerative phases.

This energy balance shows that the main difference appears in the EM2 machine losses. Energy burned in electric EM1 and battery losses are not significantly different. The planetary gear losses in the THS (efficiency = 0.97) remains small compared to the difference in EM2 losses.

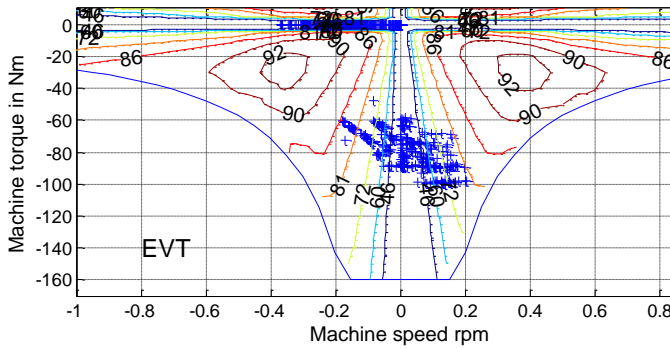
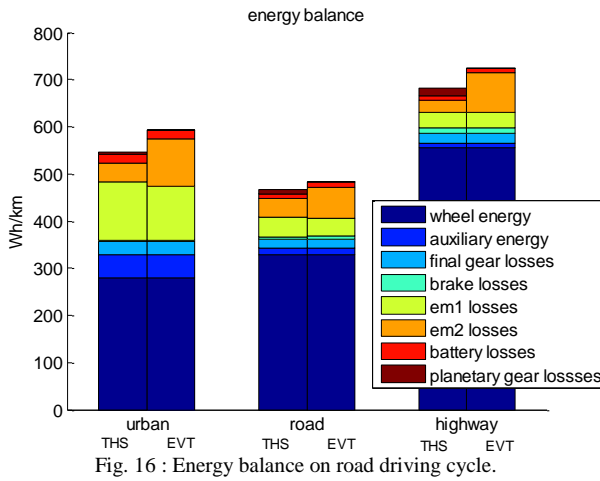
To explain the higher losses in EM2 in the EVT cases, the operating point of the machine has been represented in road condition driving cycle (Fig. 17). This figure is the efficiency maps of the EM2 machine plus INV2 inverter. Efficiency is represented by coloured lines in torque versus speed area. Operating points of the machine appear in blue.

EVT EM2 machine operates at relatively high torques and the speed of EM2 remains low due to the differences between engine speed and drive-shaft speed. Thus, even if the optimal control chooses the best system efficiency operation, the machine operates mainly in an area with low efficiency.

Moreover, the ICE operates in a worse area with EVT than with THS [19]. The mean specific consumption in road condition is 225 g/kW.h with THS compared to 229 in the case of the EVT architecture.

To improve the EVT system and reduce EM2 losses many solutions can be proposed. The EM2 design may be changed trying to improve the efficiency of the operating area. This could be performed using an iterative design process aiming to optimize the systems efficiency. Nevertheless the electrical machines keep a low efficiency at low speed. The EM2 can be scaled and oversized but it is not desirable for cost and size consideration and will be difficult in the case of a double rotor integrated machine [11]. The final gear ratio can be changed but this will also change the EM1 operating points and the global dynamic performances of the vehicle. For these reasons we consider in this paper the insertion of a gear between the engine and the EM2 electrical machine (section IV.B) that shifts the operating points of EM2 to a better efficiency region.





### B. Result for the new EVT-based solution

Considering that in the case of EVT, the EM2 operates in a low efficiency area, a gear (named ICE-G) can be inserted between engine and EM2 to increase the EM2 speed (Fig. 18). Note that, using a planetary gear with fixed ring, the volume of this gear can be equivalent to the THS' one. No supplementary space is thus required.

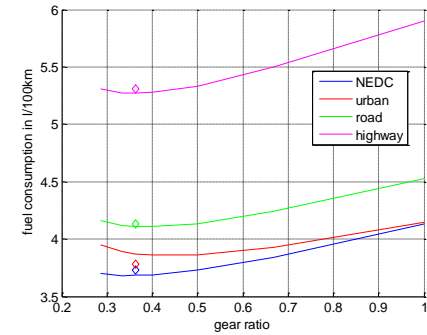
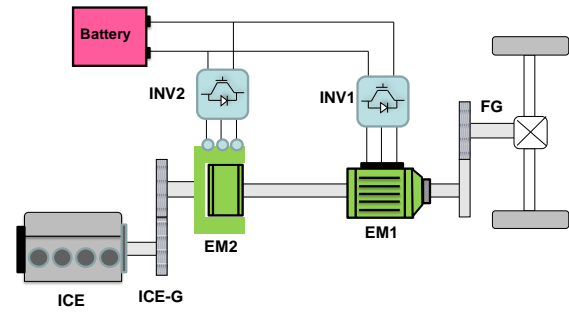
A parametric study has been performed to find the best gear ratio.

It is supposed that the gear efficiency equals 0.97 which is the same value as the planetary gear efficiency of THS.

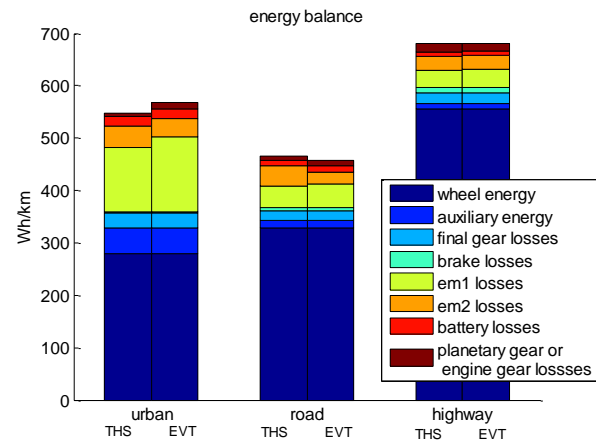
Fig. 19 shows the optimal fuel consumption obtained with backward model and dynamic programming vs. gear ratio. It is presented for different driving cycles. The diamond-shaped points represent the THS fuel consumption. Looking at different driving cycles a good trade-off seems to select a gear ratio of 1/2.75. For such ratio the fuel consumption of both architectures may be quite the same (TABLE 7).

TABLE 7 : FUEL CONSUMPTION ON DIFFERENT DRIVING CYCLE  
THS AND EVT WITH GEAR

drive cycle	THS fuel consumption (l/100 km)	EVT fuel consumption (l/100 km)
NEDC	3.73	3.73
urban	4.54	4.73
road	3.86	3.82
highway	5.59	5.63



As in the previous section, an energetic comparison is performed between THS and EVT with ICE gear. Fig. 20 is the comparative energy balance in real use condition for the two architectures. It clearly appears that using an additional gear the EM2 losses are drastically reduced compared to the original architecture (Fig. 16). This can be explained looking at the operating points of the ICE and EM2 which have been really shifted to join efficiency areas close to those of THS (Fig. 21 and Fig. 22) when adding a gear. The mean specific consumption in the road driving conditions becomes 222 g/kW.h with EVT against 225 g/kW.h with THS. It is noted that in urban conditions the consumption remains 5% higher. This is mainly due to EM1 losses which increase as the management chooses the best compromise between EM2 and EM1 efficiency.



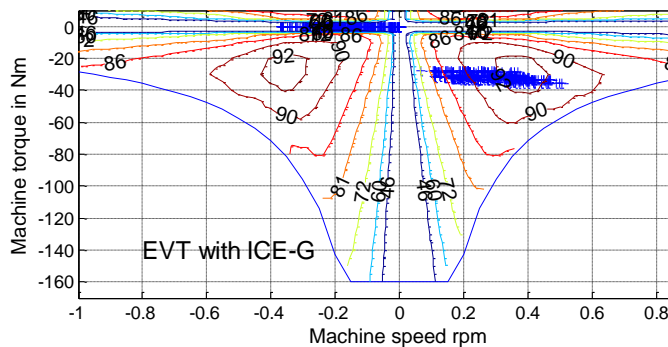


Fig. 21 : Operating point of EM2 in road driving cycle

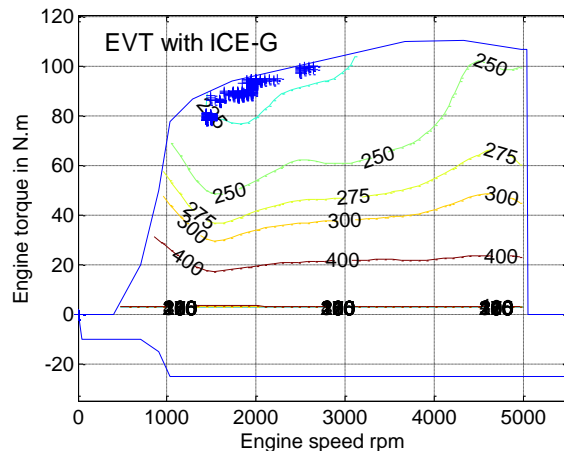


Fig. 22 : Operating point of Engine in road driving cycle

## VI. CONCLUSION

The off-line optimal control based on dynamic programming has been developed and applied to two SP-HEVs topologies. It has been applied to the well known Prius-II and to a virtual EVT based HEV built with the same components. A comparative study on different driving cycles shows that the EVT fuel consumption is approximately 10 % higher than that of the Prius-II.

The benefit of including a gear between the engine and the EVT has then been proven. Choosing a gear ratio around 1/2.75 reduces the EVT fuel consumption which reaches the level of the Prius-II consumption.

Concerning weight and volume of the two systems, the first results show values of the same order. Nevertheless, there are many EVT improvement possibilities: burying the magnet, adopting a V magnet structure, improving the slot shape, reducing the rotor thickness... The EVT may then be a good alternative to the THS systems. However, the use of a rotating armature fed by power electronics should be carefully studied.

To compare more precisely the performances of both topologies, a more global sizing approach is necessary, and the comparison has to be made with an optimized EVT. Continuing this work, this approach is currently in progress.

## REFERENCES

- [1] CC. Chan, "The state of the art of electric and hybrid vehicles", Proceedings of the IEEE, vol. 90, (Feb.2002), issue2, pp 247-275.
- [2] JM. Miller, "Propulsion systems for hybrid vehicles", The Institution of Engineering and Technology (December 2003), ISBN: 0863413366.
- [3] M.Ehsani, Yimin Gao, J.M.Miller, "Hybrid Electric Vehicles: Architecture and Motor Drives", Proceedings of the IEEE, Vol. 95, N°4, April 2007, pp 719-728
- [4] E. Vinot, R.Trigui, B.Jeanneret, J. Scordia, F. Badin, "HEVs Comparison and Components Sizing Using Dynamic Programming", IEEE VPPC' 07, Arlington, Texas.
- [5] Toyota Hybrid System THS II, Special Reports\_12 Toyota Motor Corporation, Publics affairs Division, available at [www.toyota.co.jp/en/tech/environment/thsh2/SpecialReports\\_12.pdf](http://www.toyota.co.jp/en/tech/environment/thsh2/SpecialReports_12.pdf).
- [6] K. Muta, M. Yamazaki, J. Tokiada, "Development of New Generation Hybrid System THS II - Drastic Improvement of Power Performance and Fuel Economy", SAE Technical Paper 2004-01-0064
- [7] J.M. Miller, « Hybrid electric vehicle propulsion system architectures of the e-CVT type », IEEE Transaction on Power Electronics., vol.21, no. 3, pp 756-767, May 2006.
- [8] B. Mashadi and S.A Emadi, « Dual-mode power-split transmission for hybrid electric vehicles », IEEE Transactions on Vehicular Technology, vol.59, no. 7, pp 3223-3232, Sep 2010.
- [9] M. J. Hoeijmakers, J. A. Ferreira, "The electric variable transmission". IEEE Transactions on Industry Applications, vol. 42, n.4, pp. 1092-1093, July/August 2006
- [10] P. Zheng, R. Liu, Q. Wu, J. Zhao, Z. Yao, "Magnetic coupling analysis of four-quadrant transducer used for hybrid electric vehicles". IEEE Transactions on Magnetics, Vol.43, No. 6, 2007, pp. 2597-2599
- [11] Y. Cheng, R. Trigui, C. Espanet, A. Bouscayrol and S. Cui, "Specifications and Design of a PM Electric Variable Transmission for Toyota Prius II", IEEE Transactions on Vehicular Technology, Vol. 60, No.9, Nov. 2011.
- [12] Y. Cheng, C. Espanet, R. Trigui, A. Bouscayrol and S. Cui, "Design of a Permanent Magnet Electric Variable Transmission for HEV Application", IEEE VPPC 2010, 1-3 Sept 2010, Lille-France.
- [13] Cui Shu-mei, Huang Wen-xiang, Zhang Qian-fan, "Research on power density improvement design of an HEV using induction machine based electrical variable transmission", IEEE VPPC 2008, 3-5 Sept 2008, Harbin-China.
- [14] Guo Xizheng, Wen Xuhui, Zhao Feng, Fan Tao, "Comparison of two different structure of permanent magnet dual mechanical port machine", IEEE VPPC 2008, 3-5 Sept 2008, Harbin-China.
- [15] E. Vinot, J.Scordia, R.Trigui, B.Jeanneret, F.Badin "Model simulation, validation and case study of the 2004 THS of Toyota Prius", Int. Journal of Vehicle Systems Modelling and Testing, Vol.3, No.3, 2008, pp 130-167.
- [16] A. Kimura, T. Abe, S. Sasaki, "Drive force control of a parallel-series hybrid system", JSAE Review 20 (1999), pp 337-341.
- [17] Y. Cheng, K. Chen, C.C. Chan, A. Bouscayrol, S. Cui, "Global modelling and control strategy simulation for a Hybrid Electric Vehicle using Electrical Variable Transmission", IEEE Vehicular Technology Magazine, vol. 4, no. 2, June 2009, pp. 73-79.
- [18] Min-Joong Kim and Huei Peng, "Power Management and Design Optimization of Fuel Cell/Battery Hybrid Vehicle", Journal of power sources, Vol. 165, issue 2, March 2007, pp.819-832.
- [19] E.Vinot, R. Trigui, Y. Cheng, A. Bouscayrol, C. Espanet, "Optimal Management and Comparison of SP-HEV vehicles using the dynamic programming method", IEEE VPPC 2012, 10-12 Oct 2012, Seoul-Corea.
- [20] J.Scordia, M.Desbois-Renaudin, R.Trigui, B.Jeanneret and F.Badin, "Global Optimisation of Energy Management Laws in Hybrid Vehicles Using Dynamic Programming". I.J.Vehicle Design, Vol.39, No.4, 2005, pp 349-367.
- [21] M. Koot, J.Kessel, B. de Jager, W.Heemels, P. van den Bosch, M. Steinbuch, "Energy Management Strategies for Vehicular Electric Power Systems", IEEE Transactions on Vehicular Technology, Vol.54, N°3, may 2005, pp 771-782.

- [22] D.E. KirK, "Optimal Control Theory, An Introduction", Dover Publications, Inc. ISBN : 0486434842.
- [23] Jinming Liu and Huei Peng, "Modeling and control of a power-Split Hybrid Vehicle", IEEE Transactions on Control Systems Technology, Vol. 16, No.6, Nov. 2008.
- [24] M. Olszewski, "Evaluation of 2004 Toyota Prius Hybrid Electric Drive", ORNL/TM-2006/423
- [25] J.S. Hsu, C.W. Ayers, C.L. Coomers, "Report on Toyota/prius motor design and manufacturing assessment", ORNL/TM-2004/137
- [26] C. Desai, S.S. Williamson, "Optimal Design of a Parallel Hybrid Electric Vehicle using Multi-Objective Genetic Algorithms", IEEE-VPPC, 2009, pp. 871-876.
- [27] S. Buerger; B. Lohmann; M. Merz; B. Vogel-Heuser; M. Hallmannsegger. "Multi-objective optimization of hybrid electric vehicles considering fuel consumption and dynamic performance", IEEE-VPPC, 2010, 1-3 Sept 2010, Lille-France.
- [28] C. Bertram; D. Buecherl; A. Thanheiser; H.-G. Herzog. "Multi-objective optimization of a parallel hybrid electric drive train" IEEE-VPPC, 2011, 6-9 Sept 2011, Chicago-USA.
- [29] V. Reinbold, E. Vinot, L. Gerbaud "Global Optimization of a parallel hybrid vehicle using optimal energy management", OIPE 2012 Workshop, 19-21 Sept, Ghent, Belgium.
- [30] M. André, "The ARTEMIS European driving cycles for measuring car pollutant emissions", Science of The Total Environment, Volumes 334-335, December 2004, pp 73-84.



**Emmanuel Vinot** received the engineering degree of Ecole Nationale Supérieure d'Electricité de Grenoble (1997), the M.S. degree in electrical engineering from the Laval University, Québec, Canada (1998) and the Ph. D degree from the Electrotechnic Laboratory of the national Polytechnique Institute of Grenoble (INPG), France, in 2000.

Since 2005 he is working in the French Institute of science and technology for Transport, development and network (IFSTTAR) in the hybrid vehicle team. His main interests are systemic model of vehicle and components, system management optimisation, and system and electrical machine design.



**Rochdi Trigui (M'06)** was born in Sfax, Tunisia, in 1969. He received the electrical engineering degree from the National High School of Electrical and Mechanical Engineering, Nancy, France, in 1993 and the Ph.D. degree in electrical engineering from the National Polytechnic Institute of Lorraine, Nancy, in 1997.

Since 1998, he has been a Researcher in the field of electric and hybrid vehicles with the French National Institute for Transport and Safety Research (INRETS), Bron, France. Since 2008, he has been the head of the Hybrid Electric Vehicle team with INRETS, now called the French Institute of Science and Technology for Transport, Development and Networks (IFSTTAR). He is an Associate Editor of the Journal of Asian Electric Vehicle.

Dr. Trigui is currently a member of the IEEE Vehicular Technology Society. He was the Cochair of the IEEE Vehicle Power and Propulsion Conference in 2010.



**Alain Bouscayrol (M'02)** received the Ph.D. degree in electrical engineering from INP Toulouse, France, in 1995.

He had been engaged as Associate Professor at the L2EP of the University of Lille1 (France) in 1996, and became Professor in 2005.

His research interests include graphical descriptions for electrical machine controls, tractions application and hybrid vehicles. From 1998 to 2004, he managed the "Multimachine Muticonverter Systems" project of national research Group (GdR) SDSE-ME2MS of CNRS (French National Scientific Research Centre). Since 2005, he has managed MEGEVH, a French national network on hybrid vehicles.



**Christophe Espanet (M'04)** is received the Ph.D. degree from the University of Franche-Comte (France) in 1999. His doctoral research dealt with the design and the optimization of PM in-wheel motors.

From 1999 to 2007, he has been an Associate Professor at the University of Franche-Comte in the Laboratory of Electrical Engineering and Systems (L2ES). He is now full professor at the University of Franche-Comte (France) and Head of the Team "Non Conventional Electric and Thermal Machines" in the FEMTO-ST Institute. He is also deputy director in charge of research at the UDR-STGi. His research interests include the modeling and the design of electrical systems and in particular electric machines.



**Yuan Cheng** received the B.S., M.Sc., and Ph.D. degrees all in Electrical Engineering from Harbin Institute of Technology (HIT), Harbin, China, in 2002, 2004 and 2009 respectively.

His research interests include design and control of electric machines, modeling and control of electric (EVs) and hybrid electric vehicles (HEVs). From 2009 to 2011, he carried out the research of Permanent-Magnet EVT and its applications in HEVs in the frame of MEGEVH network, France.

In 2012, he joined PSA Peugeot Citroën in Paris, where he is responsible for the research of novel energetic conversion systems for automotive applications and the development of powertrain simulation tools.



**Vincent Reinbold** received the engineering degree of Ecole Nationale Supérieure d'Electricité de Grenoble (2011). He is currently a Ph.D student.

His research interests include the optimal design of electrical machine for hybrid vehicle.